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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephan B. Sears
Serial No.: 10/719,230
Filed: November 20, 2003
Docket: 30446.2USC1
Title: WATER PROCESSING DEVICE

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 19, 2004.

By: Tracy Truick

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.8.
- ☒ Information Disclosure Statement (37 C.F.R. §1.97 (c)(2))
- ☒ Form 1449 (Information Disclosure Statement) (1 sheet)
- ☒ A check in the amount of \$180.00 under 37 C.F.R. §1.17(p)
- ☒ Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-0306. A duplicate of this sheet is enclosed.

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Name: SaraLynn Mandel

Reg. No.: 31,853

Initials: SLM



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Stephan B. Sears **Examiner:** Fred G. Prince
Serial No.: 10/719,230 **Group Art Unit:** 1724
Filed: November 20, 2003 **Docket No.:** 30446.2USC1
Title: WATER PROCESSING DEVICE

CERTIFICATE UNDER 37 CFR 1.8:

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By: Tracy Trick

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. They are as follows:

- U.S. Serial No. 3,890,205, June 17, 1975, Schnitzer. (Exhibit 1)
- U.S. Serial No. 4,030,985, June 21, 1977, Barba et al. (Exhibit 2)
- U.S. Serial No. 4,585,167, April 29, 1986, Kholin. (Exhibit 3)
- U.S. Serial No. 4,698,136, October 6, 1987, El-Allawy. (Exhibit 4)
- U.S. Serial No. 4,978,429, December 18, 1990, Sears et al. (Exhibit 5)
- U.S. Serial No. 5,248,394, September 28, 1993, Schlesinger et al. (Exhibit 6)

This statement should be considered because it is submitted before the mailing date of the final Office Action on the merits according to 37 C.F.R. §1.97(c)(2) and is accompanied by a fee of \$180.00 under 37 C.F. R §1.17(p). In accordance with 37 C.F.R. §1.98(d)(1)(2), copies of Exhibits 1-6 as set forth in the enclosed Form 1449 are not provided as they have been previously

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Applicant: Stephan B. Sears
Serial No.: 10/719,230
Filed: November 20, 2003
Page 2

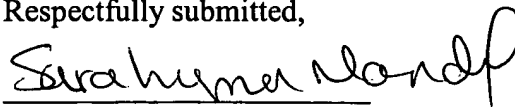
provided in U.S. Serial No. 09/991,569 filed November 16, 2001, for which the subject application claims priority.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that the references have been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

No fee, other than the fee under 37 C.F. R §1.17(p) is deemed necessary in connection with the filing of this Information Disclosure Statement. However, if any additional fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-0306.

Respectfully submitted,



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FORM 1449*

Docket Number

Application Number

30446.2USC1

10/719,230

**INFORMATION DISCLOSURE STATEMENT
IN AN APPLICATION**

Applicant

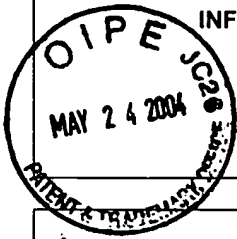
Stephan B. Sears

Filing Date

November 20, 2003

Group Art Unit

(Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	3,890,205 Schnitzer	June 17, 1975 (Exhibit 1)					
	4,030,985 Barba et al.	June 21, 1977 (Exhibit 2)					
	4,585,167 Kholin	April 29, 1986 (Exhibit 3)					
	4,698,136 El-Allawy	October 6, 1987 (Exhibit 4)					
	4,978,429 Sears et al.	December 18, 1990 (Exhibit 5)					
	5,248,394 Schlesinger et al.	September 28, 1993 (Exhibit 6)					

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

*Substitute Disclosure Statement Form (PTO-1449) Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE